

## Corporate event instruction: Checklist of mandatory information

### General information:

Corporate action id  
ISIN  
Payment date  
CBL Account number

### Per beneficial owner:

Nature of Person: (1) individual  
(2) listed company  
(3) unlisted company  
(4) trust (any type)  
(5) RSA Government, Provincial Administration, Municipalities  
(6) Retirement Fund (Pension, Provident, Benefit, Retirement Annuity, etc)  
(7) other entities not covered by the list provided

Beneficial Owner First name

Beneficial Owner Last name / Registered name

Tax residency

Type of ID number: (1) South African ID number  
(2) Foreign ID number  
(3) Foreign Passport number  
(4) South African company / close corporation registration number  
(5) Foreign company registration number  
(6) ISIN number (applicable only if the beneficiary is the distributing company)  
(7) South African trust registration number  
(8) Foreign trust registration number  
(9) Internal identification number

ID number

Country where passport was issued (if applicable)

Income Tax Reference number (for South African resident only)

Date of birth / incorporation

Number of shares

Tax rate to apply

Exemption code (if exemption is requested):

- (A) a company which is a resident of South Africa
- (B) the South African Government, a provincial administration or a municipality
- (C) a public benefit organization approved by the Commissioner in terms of Section 30(3) (could be local or foreign, but must be approved)
- (D) a South African trust contemplated in Section 37A (i.e. rehabilitation trust)
- (E) a South African institution, board or body contemplated in Section 10(1)(cA) (ex. Water Board, Tribal Authority etc)
- (F) a South African Fund contemplated in section 10(1)(d)(i) or (ii) (i.e. Pension / Provident / RA/ Benefit Fund)
- (G) a South African person contemplated in Section 10(1)(t) (ex. CSIR, SANRAL, ARMSCOR, Development Bank of SA etc)
- (H) a shareholder in a registered micro business, as defined in the Sixth Schedule, paying that dividend, to the extent that the aggregate amount of dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed the amount of R200,00
- (J) a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of "dividend" in section 64D (i.e. non resident company listed on JSE)
- (Other) this code should be used by foreign entities exempted from tax, that is Supranational entity recognised by the South African authorities or Foreign entities exempted from world-wide tax by way of the Diplomatic Immunities and Privileges Act schedule 3 and 4 on the United Nations and its specialised agencies. Additional documentation must have been provided to support the status claim.